

PROFESSIONAL ETHICS COMPLIANCE CHART

Review Period: _____ Officer/Lead: _____ Date: _____

STATUS	ETHICAL STANDARD / REQUIREMENT	COMPLIANCE INDICATORS
I. Integrity & Objectivity		
	Conflict of Interest Disclosure	All external interests and relationships documented and evaluated.
	Impartiality in Decision Making	Evidence of objective criteria used in procurement and hiring.
II. Confidentiality & Data Privacy		
	Client Data Protection	Encryption protocols active; access logs reviewed periodically.
	Proprietary Information	NDA compliance and secure storage of trade secrets.
III. Professional Competence		
	Continuing Education	Minimum required professional development hours completed.

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	Standard of Due Care	
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		Work products peer-reviewed for technical accuracy and quality.
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IV. Social Responsibility

	Anti-Discrimination Policy	
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		Strict adherence to workplace equity and inclusion standards.
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	Whistleblower Protection	
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		Reporting channels remain anonymous and accessible to all staff.
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Notes & Corrective Actions:

Authorized Signature: _____ Verification Date:

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